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SERIAL NUMBER	FILING or 371(c) DATE RULE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
10/627,626	07/28/2003	327	3694	10392/460043

APPLICANTSSteven M.H. Wallman, Great Falls, VA; *[Signature]***** CONTINUING DATA *******

This application is a DIV of 09/139,020 08/24/1998 PAT 6,601,044
 which is a CIP of 09/038,158 03/11/1998 PAT 6,996,539 *[Signature]*

**** FOREIGN APPLICATIONS ******* *[Signature]***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ****
10/23/2003

Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Verified and Acknowledged	/DANIEL LAWSON GREENE/ Examiner's Signature	Initials	VA	16	1	1

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TITLE

Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis

FILING FEE RECEIVED 750	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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